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000959 7590 01/06/2004

LAHIVE & COCKFIELD, LLP.
28 STATE STREET
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(Depositor's name)
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/896,417	06/28/2001	Richard Sharp	SYCS-031/P79	2279

TITLE OF INVENTION: METHOD FOR ACHIEVING IMPROVED TRANSMISSION PERFORMANCE OVER FIBER USING A MACH-ZEHNDER MODULATOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES NO	XXXX \$665	\$300	XXXX \$965	04/06/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
PAK, SUNG H	2874	385-020000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Lahive & Cockfield, LLP
2. _____
3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

SYCAMORE NETWORKS, INC.

CHELMSFORD, MA

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

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- ☒ Issue Fee
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Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

Kevin J. Canning, Reg # 65,470

2/23/04

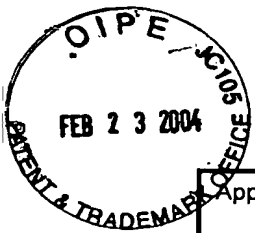
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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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03/01/2004 MBELETE2 00000134 120080 09896417

01 FC:2501	665.00 DA
02 FC:1504	300.00 DA
03 FC:8001	30.00 DA



Application No. (if known): 09/896417


Attorney Docket No.: SYCS-031

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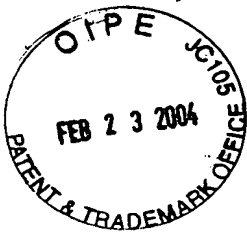
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Kevin J. Canning

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Written Assertion of Entitlement to Small Entity Status (1 page);
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of Richard Sharp

Serial No.: 09/896,417

Filed: June 28, 2001

For: Method for Achieving Improved Transmission
Performance Over Fiber Using a Mach-Zehnder
Modulator

Attorney Docket No.: SYCS-031

Group Art Unit: 2874

Examiner: Sung H. Pak

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Alexandria, VA 22313-1450

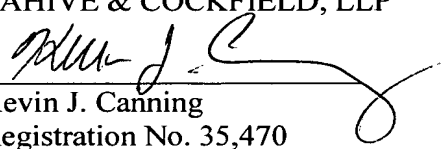
WRITTEN ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS

Dear Sir:

Small entity status for the above-identified application is hereby asserted under 37 C.F.R. 1.27(c)(1).

Respectfully submitted,

LAHIVE & COCKFIELD, LLP


Kevin J. Canning
Registration No. 35,470
Attorney for Applicants

Dated: February 23, 2004
28 State Street
Boston, MA 02109
(617) 227-7400



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FEE TRANSMITTAL for FY 2004 <small>Effective 10/01/2003, Patent fees are subject to annual revision.</small>		Complete if Known	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/896417-Conf. #2279
TOTAL AMOUNT OF PAYMENT (\$) 995.00		Filing Date	June 28, 2001
		First Named Inventor	Richard Sharp
		Examiner Name	S. H. Pak
		Art Unit	2874
		Attorney Docket No.	SYCS-031

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																			
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1504</td><td>Printed copy of patent w/o color; Publication fee for early, voluntary, or normal publication</td><td>330.00</td></tr><tr><td colspan="2">SUBTOTAL (3) (\$) 995.00</td><td colspan="2"></td></tr></tbody></table>				Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051 130	2051 65	Surcharge - late filing fee or oath		1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet		1053 130	1053 130	Non-English specification		1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination		1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action		1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action		1251 110	2251 55	Extension for reply within first month		1252 420	2252 210	Extension for reply within second month		1253 950	2253 475	Extension for reply within third month		1254 1,480	2254 740	Extension for reply within fourth month		1255 2,010	2255 1,005	Extension for reply within fifth month		1401 330	2401 165	Notice of Appeal		1402 330	2402 165	Filing a brief in support of an appeal		1403 290	2403 145	Request for oral hearing		1451 1,510	1451 1,510	Petition to institute a public use proceeding		1452 110	2452 55	Petition to revive - unavoidable		1453 1,330	2453 665	Petition to revive - unintentional		1501 1,330	2501 665	Utility issue fee (or reissue)	665.00	1502 480	2502 240	Design issue fee		1503 640	2503 320	Plant issue fee		1460 130	1460 130	Petitions to the Commissioner		1807 50	1807 50	Processing fee under 37 CFR 1.17(q)		1806 180	1806 180	Submission of Information Disclosure Stmt		8021 40	8021 40	Recording each patent assignment per property (times number of properties)		1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))		1810 770	2810 385	For each additional invention to be examined (37CFR 1.129(b))		1801 770	2801 385	Request for Continued Examination (RCE)		1802 900	1802 900	Request for expedited examination of a design application		Other fee (specify) 8001; 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SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Kevin J. Canning	Registration No. (Attorney/Agent)	35,470
Signature		Telephone	(617) 227-7400
		Date	February 23, 2004